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<b>(21) International Application Number:</b> PCT/US82/01246 <b>(22) International Filing Date:</b> 15 September 1982 (15.09.82)  <b>(71) Applicant:</b> GENERAL ELECTRIC COMPANY [US/US]; 1 River Road, Schenectady, NY 12305 (US). <b>(72) Inventors:</b> BENNETT, James, Gordy ; 16 East Bayberry, Glemmont, NY 12077 (US). TUNGATE, Freddie, Lee ; Rt. 1, Box 18, Georgetown, IN 47122 (US). <b>(74) Agents:</b> KING, Arthur, M.; International Patent Operation, General Electric Company, 570 Lexington Avenue, New York, NY 10022 (US) et al.  <b>(81) Designated States:</b> DE (European patent), FR (European patent), GB (European patent), JP, NL (European patent).		<b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> PREPARATION OF ORTHO-ALKYLATED PHENOLS USING MAGNESIUM COMPOUND CATALYSTS  <b>(57) Abstract</b>  Ortho-alkylated phenols are prepared by reacting phenol unsubstituted in at least one ortho position with an alcohol in the presence of an ortho-alkylation catalyst consisting of magnesium carbonate, magnesium hydroxide or a mixture of both. The catalyst is used in the absence of a promoter compound and with or without polymeric binder.		

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PREPARATION OF ORTHO-ALKYLATED PHENOLS  
USING MAGNESIUM COMPOUND CATALYSTS

Background of the Invention

Methods for the alkylation of the ortho position of phenols having at least one ortho-hydrogen are known in the art. Such methods are usually carried out in the presence of a catalyst.

5           Hamilton, U.S. 3,446,856 discloses a method for methylating the ortho positions of phenol by the vapor phase reaction of a phenol with methanol in the presence of magnesium oxide at a temperature in the range of 475° to 600°C.

10           Van Sorge, U.S. 3,873,628, discloses a method for the ortho-alkylation of phenol in the presence of a catalyst consisting of a mixture of magnesium oxide and manganese sulfate.

15           Other Van Sorge patents describe methods for the ortho-alkylation of phenols using a magnesium oxide catalyst together with a "promoter" consisting of manganese oxide (U.S. 3,974,229), or a magnesium oxide catalyst bonded with an inert organic cellulosic polymeric binder (U.S. 3,972,828).

20           It is desirable to carry out the ortho-alkylation of phenols in the presence of a catalyst which does not depend on the use of a promoter compound or polymeric binders for the catalyst. The procedure described in the aforementioned Hamilton patent, using  
25           magnesium oxide alone serves the purpose to some extent. However, it is known that in Hamilton's procedure the service life of the magnesium oxide catalyst is relatively short because of the high temperature required, 475° to 600°C., and there is only moderate selectivity  
30           with respect to methanol.



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Introduction to the Invention

It has now been discovered that the ortho-alkylation of phenols in the vapor phase can be carried out in the presence of a catalyst from the group consisting of magnesium carbonate, magnesium hydroxide and mixtures of the two, to produce the ortho-substituted phenol in high yields. This reaction proceeds at lower temperatures than permitted by many prior art processes, without a reduction in selectivity to the desired ortho-alkylated end product.

10 In carrying out the process of the invention, it is desirable to conduct the reaction at a temperature of at least 400° C., with temperatures in the range 400° to 460°C. being preferred.

The magnesium carbonate and/or magnesium hydroxide catalyst is employed in the process of the invention without a promoter and with or without polymeric binders.

By the term "magnesium carbonate" there is meant those compounds having the formula  $MgCO_3$ , as well as those compounds known as "basic" or "light" magnesium carbonates, e.g., having the formula  $4MgCO_3 \cdot Mg(OH)_2 \cdot 5H_2O$ .



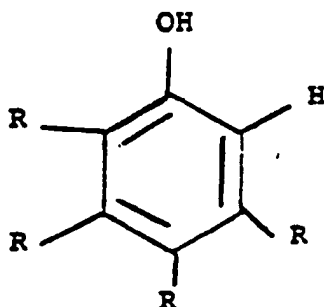
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Description of the Invention

According to this invention, ortho alkylated phenols are formed by a process which comprises the vapor phase reaction of an alkyl alcohol and a phenol having at least one unsubstituted ortho position, in the presence of a catalyst from the group consisting of magnesium carbonate, magnesium hydroxide or a mixture of the two, at a temperature of at least 400°C. and preferably between 400° and 460°C.

Examples of the alcohols include saturated aliphatic alcohol such as methyl, ethyl, propyl, isopropyl, butyl, isobutyl, tert-butyl, amyl, isoamyl, hexyl, heptyl, octyl, nonyl, decyl, lauryl, cetyl, cyclohexyl, and the like; alcohols, especially alcohols having up to 6 carbon atoms, and most preferably, methanol.

The invention is described with reference specifically to phenols and ortho-cresol. It can be applied in general to any phenol having an ortho-hydrogen, however. For instance, the process can be used with ortho-phenyl phenol, ortho-ethyl phenol, and phenols in which there are alkyl and aryl groups in the meta-and para- positions. These phenols can be represented by the formula:



where each R is a monovalent substituent selected from the group consisting of hydrogen, alkyl, e.g., C<sub>1</sub>-C<sub>12</sub> alkyl,



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phenyl and alkyl-substituted phenyl, e.g.,  $C_1$ - $C_{12}$  alkyl-substituted phenyl.

In carrying out an alkylation in accordance with this invention, any one or a mixture of phenols having  
5 an ortho hydrogen is vaporized and passed through a reactor heated to a temperature of at least 400°C. and containing the magnesium carbonate and/or magnesium hydroxide catalyst of the invention.

For best yields of the desired ortho-alkylated  
10 products, it is preferred to use at least one mole of the alkyl alcohol, and preferably from 1 to 3 moles, for each ortho position on the phenol to be alkylated. For example, if phenol, which has two ortho hydrogens per molecule, is to be methylated to produce a maximum yield of 2,6-xyleneol,  
15 it is desirable to use two to six moles of methanol for each mole of phenol, with higher yields being obtained with higher ratios of methanol to phenol.

The vapors issuing from the reactor are condensed and the products are separated by conventional methods such  
20 as crystallization, distillation, etc. The reaction proceeds at atmospheric pressure, but pressures above or below can be used as desired.

The selectivity favoring ortho alkylation over meta or para alkylation of the phenol is good, and usually  
25 better than what is achievable with promoted or bound magnesium catalysts. Yields of 90% or greater are typical. These results are unexpected in view of prior art teachings which require promoters or polymeric binders for catalysts.

The invention is further illustrated by the



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examples which follow, which are not intended to be limiting.

Preparation of Catalyst:

5 A magnesium carbonate ( $\text{MgCO}_3$ ) catalyst for use in the invention is prepared. For purposes of comparison, three ortho-alkylation catalysts in accordance with the prior art are also prepared. The procedures are described below.

$\text{MgCO}_3$  catalyst (in accordance with invention)

10 About 225 grams of  $\text{MgCO}_3$ , Merck Chemical Co. commercial grade, is thoroughly wet with distilled water by agitation in a beaker. The  $\text{MgCO}_3$  is then filtered on a fritted filter and the wet filter cake is dried in a pan in a vacuum oven. The dried cake is ground through  
15 a #25 mesh screen and 200 grams of this powder are put in a jar. Then 1.0 gram of graphite is added and the two powders are rolled on a jar mill for one hour to produce a 99.5:0.5 blend of  $\text{MgCO}_3$ : graphite.

20 This blend is pre-compressed by tableting on a Betapress and the tablets are ground using a mortar and pestle and sieved through the #25 mesh screen. The resulting denser powder is tabletted into the final tablets for use in the ortho-alkylating procedure.



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MgCO<sub>3</sub> + MnOx (Comparison catalyst A)

This catalyst is made by first slurring commercial grade MgCO<sub>3</sub>, Merck in distilled water. Then Mn(NO<sub>3</sub>)<sub>2</sub>, 50% commercial grade, is dropped into the slurry after  
5 dilution in distilled water. The ratio of MgCO<sub>3</sub> to Mn(NO<sub>3</sub>)<sub>2</sub>, by weight, is 11.33/1, or 0.02 moles of Mn(NO<sub>3</sub>)<sub>2</sub> per moles of MgCO<sub>3</sub>. This slurry is then stirred for four hours under nitrogen gas in a closed environment, at a temperature of 82°C.

10 After four hours the slurry is filtered and dried. The resulting powder, on analysis, is found to contain 1.0% Mn. Three hundred grams of the powder are blended with 1.5 grams of graphite by rolling on a jar mill for one hour. The 99.5/0.5 blend which results is tabletted on  
15 a Betapress. Precompression is necessary, so these first tablets are ground with a mortar and pestle and sieved through a #25 mesh screen. The resulting denser powder is tabletted into the final tablets for use in the ortho-alkylating procedure.

20 MgCO<sub>3</sub> + Polyphenylene ether (Comparison catalyst B)

Approximately 225 grams of MgCO<sub>3</sub>, Merck commercial grade is first thoroughly wet with distilled water and then filtered on a fritted filter. The wet cake is dried in a vacuum oven and the dried cake is ground through a  
25 #25 mesh screen. 200 grams of this powder are put in a jar and 22.22 grams of poly(2,6-dimethyl-1,4-phenylene ether) (PPO, General Electric Co.) are added to this and the two powders are blended by rolling on the jar mill for 15 minutes. Thus, a 90:10 blend of MgCO<sub>3</sub>:PPO is  
30 created. Then 1.11 grams of graphite (Asbury A-99) are added to the jar and the three powders are rolled on the jar mill for one hour. This results in a 99.5:0.5 ratio of MgCO<sub>3</sub> + PPO:graphite. This blend is then precompressed, by tableting, on the Betapress due to the lightness of  
35 the powder. The precompressed tablets are then ground





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with a mortar and pestle and sieved through the #25 screen. This denser powder is then tabletted into the final tablets for use in the ortho-alkylating procedure.  
MgCO<sub>3</sub> + MnOx + Polyphenylene ether (Comparison catalyst C)

5 This catalyst is made by slurrying commercial grade MgCO<sub>3</sub>, Merck in distilled water. Then Mn(NO<sub>3</sub>)<sub>2</sub>, 50% commercial grade is dropped into the slurry after dilution in distilled water. The ratio of MgCO<sub>3</sub> to Mn(NO<sub>3</sub>)<sub>2</sub>, by weight, is 11.3/1, or 0.02 moles of Mn(NO<sub>3</sub>)<sub>2</sub> per mole of  
10 MgCO<sub>3</sub>. This slurry is stirred for four hours under a N<sub>2</sub> blanket, in closed atmosphere, at a temperature of 82°C.

After four hours, the slurry is filtered and dried. This powder is determined to contain 1.0% Mn. 300 grams of this catalyst powder is then blended with 33.33 grams  
15 of PPO on the jar mill for 15 minutes to create a 90:10 blend of catalyst:PPO. Then 1.67 grams of graphite, Asbury A-99, are added to this and the powders are rolled on the jar mill for one hour to create a 99.5:0.5 blend of catalyst + PPO:graphite.

20 This blend is then precompressed on a Betapress. The tablets thus formed are ground with a mortar and pestle and sieved through the #25 screen. The denser powder thus obtained is then tabletted into the final tablets on the Betapress.

25 In the example, the reactor consists of two 3/4 inch (I.D.) tubes. The feed, a solution of alcohol and phenol compound, is fed from a reservoir through a metering pump into the first of the two 3/4 inch (I.D.) tubes which functions as a vertical vaporizer. The tube is 15 inches  
30 in length and is partially immersed to a depth of 8 inches in a fused salt bath. The vapors from the vaporizer are fed to the second 3/4 inch (I.D.) tube, which functions as a vertical reactor, through a 2 inch length of stainless steel tubing located 5 inches above the bottom  
35 of the vaporizer and connected to the reactor tube 14 inches from its bottom. The reactor tube is 24 inches



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long and is immersed in the fused salt bath to a depth of 17 inches.

The inlet tube of the reactor coming from the vaporizer also passes through the fused salt bath and serves as a preheater for the vapor issuing from the vaporizer to bring the vapor up to the temperature of the reactor tube.

The second (reactor) 3/4 inch (I.D.) tube is filled to a depth of 2 inches with glass beads which serve as support for the catalyst bed, and to a depth of 12 inches with 110 ml. of catalyst. The vapors from the vaporizer are fed to the top of the catalyst bed and product vapors leave the bottom of the reactor tube through a 3/8 inch (I.D.) stainless steel outlet tube. The product vapors are led to a water-cooled condenser and receiver where they are liquified and recovered.

#### EXAMPLE

A  $\text{MgCO}_3$  catalyst prepared as described above is evaluated in the reactor in a process according to the invention. After the reactor is charged with the catalyst and capped it is placed in a 370°C salt bath and a stream of gaseous nitrogen at a rate of 2 standard cubic feet per hour is blown over the catalyst bed. After 15 minutes, the feed is started. The feed consists of 4/1 methanol/phenolics, with the phenolic being 60/40 phenol/ortho-cresol, and 20% water. The feed rate is 215 ml/hr., equivalent to a liquid having space velocity (LHSV) of  $1.95 \text{ hr}^{-1}$ . The LHSV defines the volume of liquid per volume of catalyst per hour. The rate is maintained for the duration of the run, 502 hours. The pressure is atmospheric.

Using the  $\text{MgCO}_3$  catalyst, a temperature program is followed to maintain the desired conversion. After the feed is established at 370°C., the temperature is raised to 445°C which is reached, depending on the salt bath in use, in 1.5 to 2.5 hours. Listed below are the



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approximate time and temperature changes over the 502  
hour run.

	<u>Time, hours</u>	<u>Temp., °C.</u>
	0	370
5	0.5-19	450
	19-502	455

The results near the mid-point and at the end of the  
run are reported below:

<u>Phenolic Distribution</u>				
10	<u>Time, hours</u>	<u>Off gas, SCFH</u>	<u>Phenol, wt.%</u>	<u>o-cresol, wt.%</u>
	196	0.5	0.8	13.2
	502	0.3	1.6	18.1
	TWA	0.4	1.7	18.5
	<u>Time, hours</u>	<u>2,6-xyleneol produced, wt.%</u>	<u>2,4,6-trimethylphenol produced weight %</u>	
15	196	80.4	5.3	
	502	75.5	4.5	
	TWA	73.7	5.5	

For purposes of comparison, the same procedure is  
20 repeated, except that the  $\text{MgCO}_3$  catalyst is substituted  
with comparison catalysts A ( $\text{MgCO}_3 + \text{MnOx}$ ), B ( $\text{MgCO}_3 + \text{PPO}$ )  
and C ( $\text{MgCO}_3 + \text{MnOx} + \text{PPO}$ ), respectively. The phenolic  
distribution data for each are given below.



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Catalyst A (MgCO<sub>3</sub> + MnOx)

<u>Time, hrs.</u>	<u>Off gas, SCFH</u>	<u>Phenol, wt. %</u>	<u>o-Cresol, wt. %</u>
196	0.6	1.0	14.1
502	0.5	2.6	19.7
5 TWA	0.5	1.7	16.9

<u>Time, hrs.</u>	<u>2,6-xyleneol produced, wt. %</u>	<u>2,4,6-trimethylphenol produced, wt. %</u>
196	77.6	6.9
502	71.9	5.5
TWA	73.2	7.5

10 Catalyst B. (MgCO<sub>3</sub> + PPO)

<u>Time, hrs</u>	<u>Off gas, SCFH</u>	<u>Phenol, wt. %</u>	<u>o-Cresol, wt. %</u>
196	0.5	1.2	15.3
502	0.4	1.9	17.6
TWA	0.4	1.9	18.1

15	<u>Time, hrs</u>	<u>2,6-xyleneol produced, wt. %</u>	<u>2,4,6-trimethylphenol produced, wt. %</u>
	196	77.7	5.5
	502	75.5	4.7
	TWA	73.3	6.0



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Catalyst C (MgCO<sub>3</sub> + MnOx + PPO)

<u>Time, hrs.</u>	<u>Off gas, SCFH</u>	<u>Phenol, wt. %</u>	<u>o-Cresol, wt. %</u>
196	0.6	2.8	20.2
502	0.4	6.3	25.4
5 TWA	0.5	4.5	23.8

<u>Time, hrs.</u>	<u>2,6-xylene produced, wt. %</u>	<u>2,4,2-trimethylphenol, wt. %</u>
196	70.4	6.2
502	63.2	4.8
TWA	64.5	6.5

10

The overall results are summarized as follows:

<u>Run #</u>	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>
catalyst	MgCO <sub>3</sub>	MgCO <sub>3</sub> +MnOx	MgCO <sub>3</sub> +PPO	MgCO <sub>3</sub> +MnOx+I
wt. % 2,6	73.7	73.2	73.3	64.5
wt. % 2,4,6	5.5	7.5	6.0	6.5
15 Off gas SCFH	0.4	0.5	0.4	0.5
Selectivity ratio	13.4	9.8	12.2	9.7



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It can be seen that run #1, using  $\text{MgCO}_3$  catalyst above in accordance with the invention, produces superior results. The above data indicates that  $\text{MnOx}$  (run #2) is primarily responsible for increased off gas and decreased selectivity, both of which are undesirable. PPO (run #3) contributes slightly to decreased selectivity, probably due to a porosity effect. The use of both  $\text{MnOx}$  and PPO (run #4) is also inferior -- run #1 results in 14% higher conversion, 16% lower off gas and 35% higher selectivity by comparison.

The above-mentioned patents and/or publications are incorporated herein by reference. Obviously, other modifications and variations of the invention are possible in the light of the above disclosure. For instance, instead of magnesium carbonate ( $\text{MgCO}_3$ ), "basic" magnesium carbonate, magnesium hydroxide or a mixture of magnesium carbonate and magnesium hydroxide can be used as the catalyst. It is, therefore, to be understood that changes may be made in the particular embodiments of the invention described which are within the full intended scope of the invention as described in the appended claims.



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CLAIMS

1. In a process for alkylating a phenol in the ortho position which comprises the vapor phase reaction in the presence of an alkylation catalyst of an alkyl alcohol and a phenolic compound having at least one ortho hydrogen, the improvement comprising conducting the reaction in the presence of a catalyst consisting of a calcination residue derived from heating magnesium carbonate, magnesium hydroxide or a mixture of the two, in the absence of a promoter and with or without a polymeric binder for said catalyst.

2. A process according to Claim 1, in which the reaction is conducted at a temperature in the range of 400° - 460°C.

3. A process according to Claim 1, in which the catalyst is magnesium carbonate.

4. A process according to Claim 1, in which the magnesium carbonate is in the form of "basic" magnesium carbonate.

5. A process according to Claim 1, in which the polymeric binder is a polyphenylene oxide resin.

6. A process according to claim 1, in which the reaction is run above atmospheric pressure.



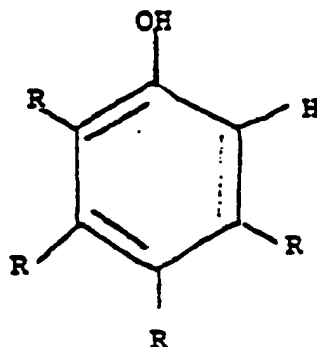
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7. A process according to Claim 1, in which the catalyst is magnesium hydroxide.

8. A process according to Claim 1, in which the catalyst is a mixture of magnesium carbonate and magnesium hydroxide.

9. A process according to Claim 8, in which the magnesium carbonate is in the form of "basic" magnesium carbonate.

10. A process according to Claim 1, in which the phenol compound has the formula



where R is a monovalent substituent selected from the group consisting of hydrogen, alkyl, phenyl and alkyl substituted phenyl.

11. A process according to Claim 1, where the process is carried out in the presence of water.

12. A process according to Claim 1, where the calcination step is performed in the reaction prior to effecting an ortho alkylation reaction of a phenol in the presence of the catalyst in said reactor.



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13. A process according to Claim 1, in which the alcohol is methyl alcohol.

14. A process according to Claim 1, in which the phenolic compound is phenol.

15. A process according to Claim 1, in which the phenolic compound is o-cresol.

16. A process according to Claim 1, in which the phenolic compound is a mixture of phenol and o-cresol.

17. In a process for preparing 2,6-xyleneol by reacting in the vapor phase, in the presence of an alkylation catalyst, methanol and a mixture of phenol and o-cresol, the improvement comprising conducting the  
5 reaction in the presence of magnesium carbonate free of a promoter and with or without a polymeric binder, at a temperature in the range 400° - 460°C.

18. A process according to Claim 17, where the process is carried out in the presence of water.

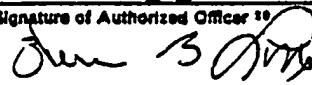
19. A catalyst composition consisting of a calcination residue derived from heating magnesium carbonate, magnesium hydroxide or a mixture of the two in the absence of a promoter and with or without polymeric  
5 binder.

20. A catalyst composition in Claim 19, in which the magnesium carbonate is in the form of "basic" magnesium carbonate.

21. A catalyst composition in Claim 19, in which the polymeric binder is a polyphenylene oxide resin.

# INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US 82 / 01246**

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>1</sup> According to International Patent Classification (IPC) or to both National Classification and IPC <b>INT. CL.3 C07C 37/16</b> <b>U.S. CL. 568/804</b>						
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>4</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; text-align: left; border-bottom: 1px solid black;">Classification System</th> <th style="text-align: left; border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; padding: 5px;"><b>U.S.</b></td> <td style="border: 1px solid black; padding: 5px;"><b>568/804</b></td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched <sup>5</sup></div>			Classification System	Classification Symbols	<b>U.S.</b>	<b>568/804</b>
Classification System	Classification Symbols					
<b>U.S.</b>	<b>568/804</b>					
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>16</sup>						
Category <sup>6</sup>	Citation of Document, <sup>18</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>				
X	US, A, 3,446,856 27 May 1969 Hamilton	1-4 and 6-21				
X	US, A, 3,843,606 22 October 1974 van Sorge	1-21				
A	US, A, 3,873,628 25 March 1975 van Sorge					
X	US, A, 3,968,172 6 July 1976 Ichikawa et al	1-4 & 6-16				
A	US, A, 3,971,832 27 July 1976 Wantaba et al					
X	US, A, 3,974,229 10 August 1976 van Sorge	1-4 & 6-20				
X	US, A, 4,041,085 9 August 1977 Frabetti					
X	US, A, 4,100,207 11 July 1978 Goodwin et al	1-4 & 6-16				
X	US, A, 4,201,880 6 May 1980 van Sorge	1-4 & 6-20				
X	CA, A, 907,065 8 August 1972 van Sorge	1-21				
X	US, A, 3,972,836 3 August 1976 van Sorge	1-21				
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>7</sup> Special categories of cited documents: <sup>19</sup></p> <p>"A" document defining the general state of the art</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document cited for special reason other than those referred to in the other categories</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> </div> <div style="width: 45%;"> <p>"P" document published prior to the international filing date but on or after the priority date claimed</p> <p>"T" later document published on or after the international filing date or priority date and not in conflict with the application, but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance</p> </div> </div>						
<b>IV. CERTIFICATION</b>						
Date of the Actual Completion of the International Search <sup>2</sup> <div style="border: 1px solid black; padding: 5px; text-align: center; width: 100%;">05 November 1982</div>		Date of Mailing of this International Search Report <sup>3</sup> <div style="border: 1px solid black; padding: 5px; text-align: center; width: 100%;">16 NOV 1982</div>				
International Searching Authority <sup>1</sup> <div style="border: 1px solid black; padding: 5px; text-align: center; width: 100%;">ISA/US</div>		Signature of Authorized Officer <sup>10</sup> <div style="border: 1px solid black; padding: 5px; text-align: center; width: 100%;">  </div>				